

06-16-00

BAKER BOTTS LLP

30 ROCKEFELLER PLAZA 44TH FLOOR NEW YORK, NEW YORK 10112-4498 212.705.5000 FAX 212.705 5020 AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK

WASHINGTON

Appln. Trans. PATENT

June 15, 2000

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. <u>A33169 PCT USA</u>

First Named Inventor Emilio Rodrigueq Cabeo

Express Mail Label No. EJ339574391US

Total Pages 25



BY EXPRESS MAIL - Label No. EJ339574391US

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

Sir:

which includes:

"This is a

Enclosed herewith for filing is a patent application of <u>Emilio Rodriguez Cabeo, Günther Laudien, Kyong-</u>Tschong Rie and Swen Biemer entitled <u>METHOD AND ARRANGEMENT FOR PLASMA BORONIZING</u>

14 Total Pages [X] Specification __ Total Pages [X] Claims _ Total Pages [X] Abstract [X] Drawing(s) Total Sheets X formal _ informal 3 Total Pages [X] Combined Declaration and Power of Attorney [X] Newly executed (original or copy) Copy from a prior application (for continuation/divisional only - must be filed to avoid surcharge for late filing) If a continuing application, check appropriate box: [] Continuation-In-Part (CIP) [] Divisional [X] Continuation of prior application No. PCT/EP98/08079

Amend the specification by inserting, before the first line, the following sentence:

[] continuation [] divisional

of copending application Serial No. _ filed _."

[] continuation-in-part

Attorney Docket No. A33169 PCT USA

- [] An Assignment of the invention to _.
 - [] is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 - [] will follow.
 - has been filed in the prior application
- [] Small Entity Statement(s)
 - [] Small Entity Statement filed in prior application. Status still proper and desired.
- Information Disclosure Statement (IDS) PTO-1449
 - [] Copies of IDS Citations.
- [] Preliminary Amendment
- [x] Return Receipt Postcard
- [] Other _
- [] Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

	(Col. 1)			(Col. 2)	Small Entity			Other Than A Small Entity	
<u>FOR</u>	No.Filed			No. Extra	Rate	<u>Fee</u>	OR	Rate	<u>Fee</u>
Basic Fee									\$690
Total Claims	88	-20	=	68	x \$9 =	\$0		x \$18 =	\$1,224
Ind. Claims	5	-3	=	2	x \$39 =	\$0		x \$78 =	\$156
Multiple Depen	dent Claim				+ \$130 =	\$0		+\$260 =	\$260
					Total	-			\$2,330

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2. Fee Payment Being Made:

[x] Enclosed

[x] Basic filing fee

\$ 2,330.00

Recording Assignment [] [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$ 2,330.00

[x] A check in the amount of \$2,330.00 to cover filing fee is enclosed.

Attorney Docket No. A33169 PCT USA

Priority

- [x] Priority of application Country Germany, Appln. No. 197 55 595.0 filed December 15, 1997 is claimed under 35 U.S.C. 119.
- Certified Copy of Priority Document(s) Country Germany, Appln No. 197 55 595.0, filed December [x]15, 1997.
 - [] is/are attached [x] will follow [] has been filed in the parent application S/N _.
- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

Francis J. Hone PTO Registration No. 18,662

Enclosures

BAKER BOTTS L.L.P. 30 ROCKEFELLER PLAZA NEW YORK, NEW YORK 10112

TO ALL WHOM IT MAY CONCERN:

Be it known that WE, EMILIO RODRIGUEZ CABEO, GÜNTHER LAUDIEN, KYONG-TSCHONG RIE, and SWEN BIEMER, citizens of Germany, whose post office addresses are: Eschenkamp 5a D-38550 Isenbüttel; Langes Moor 21, D-38542 Leiferde; Rostockerstrasse 14, D-38124 Braunschweig; and Echternstrasse, 17, D-38100 Braunschweig, Germany, respectively, have invented an improvement in

METHOD AND ARRANGEMENT FOR PLASMA BORONIZING of which the following is a

SPECIFICATION

REFERENCE TO RELATED APPLICATION

This application is a continuation of International Application No. PCT/EP98/08079 filed December 11, 1998.

BACKGROUND OF THE INVENTION

This invention relates to methods and arrangements for producing a boride layer on a surface by plasma boronizing in which a gas mixture containing a boron-releasing gas is supplied to a reactor in which a glow discharge is generated.

The boronizing process, which belongs to the group of thermochemical methods of treatment, makes it possible to produce wear-resistant surface layers, preferably on

5

10

15

20

metal components, which provide excellent protection against high abrasive and adhesive wear stresses. Until now, industrial boronizing processes have frequently used solid boron-releasing media in the form of, for example, powders or pastes. However, such processes have a number of drawbacks which limit the production of borides to certain applications for which no alternative treatments that would provide a comparable wear resistance exist. These drawbacks include, for example, the high cost of manual handling of the materials used. In this regard, the component to be boronized must be packed in boron-releasing powder or the boronizing paste must be spread on the component, and the residual boronizing agent must be removed after completion of the boronizing. For ecological reasons, all residues of boronizing agent must be disposed of at suitable waste-disposal dumps. Frequently, the prior-art methods cannot be adequately controlled or cannot be controlled at all and automation of such processes is impossible.

For this reason, various methods have been developed for producing a boride layer on a surface by plasma boronizing in which a gas mixture containing a boron-releasing gas is supplied to a reactor and a glow discharge is generated within the reactor. Such a process is described, for example, in German Offenlegungsschrift No. 196 02 639. That publication discusses the problem of plasma boronizing of metal surfaces, for example, in which the resulting layers have a substantial porosity. Such porosity has a negative effect on the wear resistance of the boronized surface. Moreover, the plasma boronizing method as described in the aforementioned publication cannot be developed for industrial series applications.

10

15

20

SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to provide a method and arrangement for plasma boronizing which overcomes disadvantages of the prior art.

Another object of the invention is to provide a plasma boronizing method which produces substantially pore-free boronized surfaces in a reliable manner and is therefore suitable for industrial series applications.

These and other objects of the invention are attained by providing a boronizing method in which a gas mixture containing a boron-releasing gas is supplied to a reactor in which the gas releases boron in a glow discharge plasma and a glow discharge parameter is controlled to maintain the amount of at least one glow discharge product of the boron-releasing gas, or the relation of that amount to the amount of another glow discharge product, within selected maximum and minimum limits, or in which the glow discharge pulse ratio is maintained above a selected level, or the glow discharge pulse duration is maintained below a maximum value.

The invention further provides an arrangement for producing a boride layer on a surface by plasma boronizing including a reactor having a treatment chamber to which a gas mixture containing a boron-releasing gas is supplied and in which a glow discharge is generated by a DC voltage having a variable pulse width or pulse pause. This arrangement is suitable for carrying out the method of the invention in accordance with the abovementioned parameters, and will be described in detail hereinafter.

3

10

15

20

First, various alternatives for carrying out the method according to the invention will be described in greater detail. It has now been established by extensive testing that it is important in plasma boronizing to properly select the production parameters of the plasma generated in the treatment chamber of the reactor. It has surprisingly been found that these parameters can be selected advantageously in such a way that an increased proportion of excited boron particles is produced in the plasma. If the plasma contains a relatively large amount of excited boron, boron layers of low porosity will be produced. This fact was demonstrated by optical emission spectroscopy and plasma analysis during development work leading to the method of the invention. If, on the other hand, the plasma contains a high concentration of excited BCl particles, highly porous layers are produced which should be avoided for the abovementioned reasons. During the studies which resulted in the invention, the inventors established that various parameters with respect to both plasma generation and the individual components contained in the gas mixture supplies to the reactor can have an effect on the desired concentration of excited boron particles. It is important that, in order to obtain the desired boride layer of low porosity, certain threshold values of excited boron be attained in the plasma.

In accordance with one embodiment of the plasma boronizing method of the invention, a glow discharge is preferably generated with a pulsed DC voltage. In this connection, it was found surprisingly that control of the pulse-duty factor, which is defined as the ratio of the duration of the voltage pulse to the subsequent pulse pause before the next voltage pulse, facilitates the desired production of an increased

4

10

15

20

concentration of excited boron particles and thus facilitates optimum control of the plasma generation method. According to one variation of the method of the invention, the pulse-duty factor should be greater than 1.1:1, preferably in the range from 1.25:1 to 5:1, and desirably in the range from 1.5:1 to 3.5:1. Furthermore, the pulse period, which is the sum of the durations of the voltage pulse and pulse pause, is preferably less than about 230 μ s, but \geq 50 μ s.

Desirably, the pulse period is less than about 230 μ s and more than 50 μ s, for example, about 210 μ s. According to one embodiment of the method of the invention, the DC voltage used for the pulsed current to produce the glow discharge is preferably in the range between about 500 volts and about 1000 volts, desirably in the range between about 600 volts and about 900 volts, and more desirably in the range between about 650 volts and about 800 volts. It was further found that, when working with higher voltage, the use of a longer pulse pause is advantageous. However, a good result is also achieved when applying lower voltage, preferably within the abovementioned voltage ranges, but even in this case, the composition of the individual components of the gas mixture supplied to the reactor can exert an influence on the resulting coating characteristics.

In the method of the invention, it is preferable to use, as a first component of the gas mixture supplied to the reactor, a boron-releasing gas in the form of a boron halide, for example, boron trichloride or boron trifluoride. Preferably used as second component of the gas mixture is hydrogen gas, and optionally, as third component of the gas mixture, a noble gas such as argon. It has been found that, when using argon as a third component

10

15

in the method of the invention, good boride layers can be obtained even when applying relatively low voltages.

The concentration of boron trihalide as a boron-releasing gas in the gas mixture generally has an effect on the boride layer produced by the method. In general, the boron trihalide content should not be too low and, as rule, should not be less than 1% by volume, since otherwise a suitable boride layer may not be obtained. In accordance with one embodiment of the method of the invention, the boron trihalide content is preferably in the range of about 2% by volume to 50% by volume. It should be noted, however, that excessively high boron trihalide contents cause a relatively high boron trihalide loss. The lost boron trihalide is contained in the waste gas from the reactor and results in high cost for waste gas disposal or purification. Especially good results have been obtained with the process of the invention when a boron trihalide content preferably in the range between about 2% by volume and 10% by volume, for example, about 7.5% by volume of boron trihalide, is used. If a noble gas is used as a third gas mixture component in the method of the invention, then the content of the noble gas, for example, argon, is preferably in the range between about 0% by volume and about 20% by volume. The second component of the mixture is preferably hydrogen gas in an amount corresponding to the remainder of the gas mixture content using the above-specified preferred ranges of the other two components, i.e. the boron trihalide and the noble gas.

The method according to the invention is preferably carried out at a low pressure, for example, a pressure in the range between about 0.5 and about 15 hPa, desirably in a range between about 1 and 10 hPa.

The selection of the desired method parameters for achieving the desired coating characteristics can be achieved, for example, in such a way that the amount of excited boron particles in the plasma is determined analytically and one or more process parameters are changed appropriately to generate the glow discharge parameters such as voltage, pulse duty factor, frequency, temperature, pressure, etc., which produce the desired result.

10

15

20

5

According to one embodiment of the method of the invention, the boride layer can be produced in several stages, in which case, for example, the coating is carried out at a relatively low treatment temperature during a first stage in order to reduce halide formation in the plasma which is partially responsible for pore formation. In this first stage, a relatively thin but pore-free boride layer is produced which is more resistant to corrosive attack. Subsequently, in a second stage, the treatment temperature is raised in order to enhance the diffusion of the boron particles and thus the formation of a layer of increasing thickness. Even when a parameter such as, in this case, the treatment temperature, is changed in such a two-stage or optionally multistage process, care should be taken that the other process parameters are controlled so that, if possible, an increased content of excited boron particles is obtained in the plasma in order to enhance the boron coating reaction and avoid a corrosive attack.

10

15

20

It has been found that, in the method of the invention, adjustment of the current passing through the plasma generally has a considerable effect. The influence exerted on the layer characteristics and the suppression of pore formation caused by the chlorine components present in the treatment atmosphere, and the enhancement of boride formation, as two reactions competing with one another, are determined by these and other plasma parameters. Depending on the pulse duty factor and the gas composition it is possible, by adjusting the voltage in a specified manner, to obtain a plasma condition characterized by a high particle density of boron-releasing components, so that boride formation preferentially takes place. Analysis of the plasma composition can be carried out, for example, with the aid of optical emission spectroscopy. In this connection, it has been found that the signals for the excited boron, the excited BCl and the Cl⁺ signal, in particular, can be used for optimizing the layer characteristics. Those methods of carrying out the invention in which the analysis shows high B signals were found to be favorable. This is possible, for example, with voltages within an average range of preferably about 650 volts to about 800 volts, where the boron trihalide content of the gas mixture and the pulse-duty factor of the pulsed DC voltage play an additional role.

The method of the invention is suitable for industrial uses and can be developed to a point where it is ready for series production. Compared with prior art boronizing methods of the kind discussed above, which operate with solid boron dispensing media, plasma boronizing with a gaseous boron-releasing dispensing medium has an enormous improvement potential. Handling of the components to be treated can be reduced to a

10

15

20

minimum. The method of the invention is suitable for automation. By changing the treatment time, it is possible according to the method of the invention to change the gas composition so that an effect can thereby be exerted on layer formation, in which case special care should be taken to avoid the formation of FeB. Furthermore, the method according to the invention takes environmental considerations into account since any residues of boronizing agent to be disposed of can be minimized.

Areas of industrial application of the method according to the invention are, for example, boronizing of metal parts to increase the wear resistance of the surfaces of components which are exposed to particularly high abrasive or adhesive stresses. The method according to the invention is suitable, for example, for boride layer application to components in the automobile industry, for example for gears, hydraulic plungers, camshafts, oil pump drives, for example, with crossed axes, helical gear wheels, and also for extruder screws and other components exposed to high stress.

The arrangement for the production of a boride layer on a surface by plasma boronizing according to the invention includes a reactor to which a gas mixture containing a boron-releasing gas can be supplied and in which a glow discharge plasma is generated. The boronizing arrangement has a plasma generator which furnishes a pulsed DC voltage with a controllable pulse width and/or pulse pause.

1. The arrangement according to the invention preferably has at least one mass flow meter for measurement and/or adjustment of the composition of the gas mixture and/or the flow rate of one or more of the gases in the gas mixture. In this way, the

10

15

20

instantaneous composition of the gas mixture supplied to the reactor can be measured at any time, and thereupon the composition of the gas mixture and/or the supply of one or more of the gases contained in the gas mixture can be changed. In this way, it is possible to exert an influence on the process. For example, by changing the composition of the gas mixture during the process, the layer formation may be altered, optionally as a function of the analytical results of the determination of the particle composition in the plasma. The method is preferably carried out with a gas mixture containing two or three components, for example, a boron trihalide, hydrogen and a noble gas. Hence, three mass flow meters are preferably included, one for the measurement and/or adjustment of the supply of each of the three components, respectively,

Preferably a pressure gauge which is independent of the type of gas is used for measurement of the treatment pressure. The pressure gauge is preferably computer-controlled.

The gases are distributed into the treatment chamber of the reactor, for example, by a gas sprayer.

Furthermore, in the case of a thermally decomposable boron-releasing gas, it has been found advantageous to use a cooled gas inlet, since, in that way, the boron-releasing gas can be more effectively utilized.

Moreover, in a further development of the invention, it is advantageous for environmental reasons to use a gas purification device for treatment of waste gas in order to minimize the amount of boron in the waste gas and thus minimize the environmental

10

15

damage of the method. For this purpose, for example, an arrangement in which the gas purification device is attached to a vacuum pump for reducing the pressure in the treatment chamber can be used can be used.

In a further embodiment of the invention, the reactor may contain an additional heating device to achieve the desired treatment temperature.

BRIEF DESCRIPTION OF THE DRAWINGS

Further objects and advantages of the inventions will be apparent from a reading of the following description in conjunction with the accompanying drawings, in which:

Fig. 1 is a schematic diagram illustrating a representative embodiment of an arrangement for producing a boride layer on a surface by plasma boronizing according to the invention; and

Fig. 2 is a graphical representation showing the variation, as a function of time, of the voltage for the pulsed DC voltage used in an embodiment of the method according to the invention.

DESCRIPTION OF PREFERRED EMBODIMENTS

In the schematic representation of a typical embodiment of an arrangement for carrying out the method of the invention for producing a boride layer on a surface by plasma boronizing shown in Fig. 1, a reactor 10 has a treatment chamber 11 wherein a plasma is generated. The treatment chamber 11 of the reactor 10 is charged with a gas

10

15

20

mixture containing a boron-releasing gas which is introduced into the treatment chamber 11 through a gas inlet 12 and a supply line 13. Attached to the supply line 13 are three feed lines through which the individual components of the gas mixture are introduced. One of the components is the boron trihalide, for example, boron trichloride or boron trifluoride, which is supplied through a branch line 14 which opens into the supply line 13. The second component is hydrogen gas supplied through a branch line 15 which likewise opens into the supply line 13. The third component is a noble gas, for example, argon, which is fed through a branch line 16 which also opens into the supply line 13. Three flow meters 17, 18 and 19 are provided in the feed lines 14, 15 and 16, respectively, of which the throughput of each of the components of the treatment gas mixture can be adjusted and measured.

The reactor 10 further includes a charging plate 20 supported in the reactor chamber 11 on two rod insulators and a flow-guiding support (not shown). The voltage for generating the glow discharge is provided through a schematically shown voltage feed line 21. The plasma generator provides a pulsed DC voltage with a controllable pulse width and pulse pause, as explained hereinafter.

The composition and throughput of the treatment gas mixture are adjusted by controlling the mass flow meters 17, 18 and 19. The treatment pressure is measured by a pressure gauge that is independent of the type of gas and is controlled by a computer. The measurement of pressure and control of the pressure are effected by a pressure control device 22 which is connected to the treatment chamber 11 through a line 23. Connected

10

15

20

to this line after the pressure control device 22 is a vacuum pump 24 and connected to the vacuum pump 24 in this line is a device 25 for waste-gas purification, which provides for adequate waste-gas treatment as waste gases are withdrawn from the treatment chamber 11.

The temperature of the plasma generator is controlled by a temperature control device 26 and a control line 27.

Furthermore, the arrangement according to the invention has an additional heating device 28 which is provided in the reactor 10 to establish the desired treatment temperature in the treatment chamber 11.

The method of the invention for producing a boride layer preferably is carried out at a low pressure, for example, in the range of 1 to 10 hPa, and is supported by electric activation of the gas atmosphere. The components to be boronized are cathodically connected to the container wall of the treatment chamber. The gas mixture, preferably containing a boron trihalide, for example, boron trichloride or boron trifluoride, hydrogen and noble gas, is introduced into the treatment chamber 11 and, in addition to thermal activation, undergoes electric activation to produce a through the glow discharge. The treatment temperature depends on the material of the respective components to be boronized and is, for example, above 700°C, preferably 800°C or higher.

A pulsed DC voltage is preferably applied in order to facilitate activation of the surface by the noble gas/ion bombardment prior to the treatment phase. Beyond that, during the treatment, active excited boron particles are produced which reach the surface

10

15

of the component where they primarily form borides by diffusion. The reduction of the halogen present in the atmosphere produced from the boron trihalide is enhanced by the atomic hydrogen produced in the plasma from the H₂ gas supplied to the chamber.

The diagram of Fig. 2 shows, by way of example, a representative voltage variation as a function of time for a pulsed direct current that is particularly advantageous for a method according to the invention. The voltage represented is, for example, in a middle range at 650 volts, with the voltage pulse maintained for 160 μ s, for example, and the pulse pause maintained for 50 μ s, for example. Thus, the pulse pause is shorter by a factor of 3 than the duration of the DC voltage pulse. The pulse period in this embodiment is 210 μ s, and thus the frequency is 4.762 kHz. In this embodiment, the pulse-duty factor, defined as the ratio of the pulse duration to pulse pause within a pulse period is 3.2. It has been established that, when using a relatively high voltage, a longer pulse pause is required. However, when using argon in the treatment gas, good results can be achieved even at relatively low voltages, for example, in the range above 500 volts.

Although the invention has been described herein with reference to specific embodiments, many modifications and variations therein will readily occur to those skilled in the art. Accordingly, all such variations and modifications are included within the intended scope of the invention.

WE CLAIM:

1	1. A method for producing a boride layer on a surface by plasma boronizing
2	comprising:
3	supplying a gas mixture containing a boron-releasing gas to a treatment
4	chamber of a reactor;
5	generating a glow discharge in the reactor;
6	determining the amount of at least one excited boron-releasing gas product
7	in the glow discharge; and
8	selecting production parameters of the plasma generated in the treatment
9	chamber of the reactor so that a minimum and/or maximum value of the determined
10	excited boron-releasing gas product and/or a minimum or maximum value of a relation of
11	one or more of the determined excited boron-releasing gas products to another glow
12	discharge product are maintained.
1	2. A method for producing a boride layer on a surface by plasma boronizing
2	comprising:
3	supplying a gas mixture containing a boron-releasing gas to a reactor; and
4	generating glow discharge in the reactor using a pulsed DC voltage source having a ratio
5	of voltage pulse duration to subsequent pulse pause duration which is greater than 1.1:1.

2

3

3. A method for producing a boride layer on a surface by plasma boronizing
 comprising:
 supplying a gas mixture containing a boron-releasing gas to a reactor; and
 generating a glow discharge in the reactor by applying a DC voltage in
 pulses having a pulse period of less than 230 μs.

4. 1 A method for producing a boride layer on a surface by plasma boronizing 2 comprising: 3 supplying a gas mixture containing a boron-releasing gas to reactor; and 4 generating a glow discharge in the reactor while maintaining the gas 5 mixture at a selected low treatment temperature during a first stage to first produce a relatively thin, dense boride layer and prevent formation of halogenides which cause 6 7 formation of pores, and maintaining the gas mixture at a higher temperature during in a 8 second stage.

- 5. A method according to claim 1 wherein the glow discharge is produced using a pulsed DC voltage source having a ratio of voltage pulse duration to subsequent pulse pause duration which is greater than 1.1:1.
- A method according to claim 1 wherein the glow discharge is generated by
 applying DC voltage pulses having a pulse period of less than 230 μs.

2

3

4

5

- 7. A method according to claim 1 wherein the method includes a first stage
 during which the gas mixture is maintained at a selected low temperature to prevent
 formation of halogenides which cause formation of pores to first produce a relatively thin,
 dense boride layer, followed by a second stage during which the gas mixture is
 maintained at a higher temperature.
- 8. A method according to claim 2 wherein the glow discharge is generated by
 applying a DC voltage in pulses having a pulse period of less than 230 μs.
- 9. A method according to claim 2 wherein the method includes a first stage during which the gas mixture is maintained at a selected low temperature to prevent formation of halogenides which cause formation of pores to first produce a relatively thin, dense boride layer, followed by a second stage during which the gas mixture is maintained at a higher temperature.
 - 10. A method according to claim 3 wherein the method includes a first stage during which the gas mixture is maintained at a selected low temperature to prevent formation of halogenides which cause formation of pores to first produce a relatively thin, dense boride layer followed by a second stage during which the gas mixture is maintained at a higher temperature.

2

3

4

1

2

3

- 1 11. A method according to claim 2 or claim 5 including determining the 2 amount of excited boron-releasing gas in the reactor at least in a relative manner.
- 1 12. A method according to claim 11 including determining spectroscopically
 2 the amount of excited boron-releasing gas in the reactor.
- 1 13. A method according to claim 11 including determining the amount of excited boron in the reactor at least as a function of the amount of excited boron-releasing gas in the reactor.
 - 14. A method according to claim 13 wherein, in order to form a minimum or maximum value of the excited boron-releasing gas content, the determined amount of the excited boron-releasing gas is set in relation to a determined amount of at least one further boron-releasing gas product.
 - 15. A method according to any one of claims 1-4 wherein a gas mixture is supplied which contains a boron trihalide as a boron-releasing gas in a concentration greater than about 1% by volume, along with hydrogen gas and, optionally, a noble gas.

- 1 16. A method according to any one of claims 1-4 wherein the glow discharge
- 2 is generated by applying a pulsed DC voltage which has a ratio of the voltage pulse
- duration to the subsequent pulse pause duration in the range from about 1.1:1 to 5:1.
- 1 17. A method according to claim 16 wherein the ratio is in the range from
- 2 about 1.5:1 to 3.5:1.
- 1 18. A method according to any one of claims 1-4 wherein a pulsed DC voltage
- having a pulse period of less than about 210 μ s is used for generating the glow discharge.
- 1 19. A method according to claim 18 wherein the pulsed DC voltage has a
- 2 pulse period \geq 50 μ s.
- 1 20. A method according to claim 19 wherein the voltage of the pulsed
- 2 DC voltage used for generating the glow discharge in the range between about 500 volts
- 3 and about 1000 volts.
- 1 21. A method according to claim 20 wherein the voltage is in the range
- between about 650 volts and about 800 volts.

- 1 22. A method according to any one of claims 1-4 wherein the reactor pressure 2 is maintained in a low-pressure range between about 0.5 and about 15 hPa.
- 1 23. A method according to claim 22 wherein the reactor pressure is

maintained in the range between about 1 and about 10 hPa.

- 1 24. A method according to any one of claims 1-4 wherein the gas mixture 2 contains a boron trihalide in a concentration of between 2% by volume and about 50% by 3 volume.
- 1 25. A method according to claim 24 wherein the boron trihalide concentration 2 is between about 2% by volume and about 10% by volume.
- 26. A method according to any one of claims 1-4 wherein the gas mixture contains up to 20% by volume of a noble gas and 2% by volume to 50% by volume of boron trihalide, the remainder being hydrogen gas.
- 1 27. A method according to claim 26 wherein the noble gas is argon.
- 1 28. A method according to claim 26 wherein the gas mixture contains 2% by volume to 20% by volume of boron trihalide.

1	29.	A method according to any one of claims 1-4 wherein the boron-releasing
2	gas is one of	BCl ₃ , BF ₃ and mixtures thereof.
1	30.	An arrangement for producing a boride layer on a surface by plasma
2	boronizing co	omprising:
3		a reactor having a treatment chamber;
4		a glow discharge generator in the reactor chamber;
5		a gas supply device through which a gas mixture containing a boron-
6		releasing gas is supplied to the reactor; and
7		a pulsed DC voltage source for applying pulsed DC voltage with a
8	controllable p	pulse width and/or pulse pause.
1	31.	An arrangement according to claim 30 including at least one mass flow
2	meter for me	asuring and/or controlling the composition and/or the flow rate of at least
3	one of the ga	ses in the gas mixture.
1	32.	An arrangement according to claim 31 including at least two flow meters
2	for measurin	g and/or controlling the flow rate of the boron-releasing gas and/or hydrogen
3	gas and/or a	noble gas

1	33.	An arrangement to claim 30 including a pressure gauge for measuring the
2	pressure in the	e reactor.
1	34.	An arrangement according to claim 33 including a computer for
2	controlling th	e measurement of pressure in the treatment chamber by the pressure gauge.
1	35.	An arrangement according to claim 30 including a gas spraying device for
2		ne gas mixture into the reactor treatment chamber.
1	36.	An arrangement according to claim 30 including a cooled gas inlet for the
2	boron-releasi	ng gas.
1	37.	An arrangement according to claim 30 including a gas purification device
2	connected to	the treatment chamber for waste gas treatment.
	20	
1	38.	An arrangement according to claim 37 including a vacuum pump
2	connected to	the gas purification device.
1	39.	An arrangement according to claim 30 including heating means in the
2		hieving a desired treatment temperature.
4	TOACIOI TOI AC	meving a desired deadness temperature.

10

ABSTRACT OF THE DISCLOSURE

A method and arrangement for producing a boride layer on a surface by plasma boronizing includes supplying a gas mixture containing a boron-releasing gas to a reactor and generating a glow discharge in the reactor using a pulsed DC voltage. The parameters of the production of the plasma produced by the glow discharge in a treatment chamber of the reactor are selected so that an increased quantity of excited boron particles is generated in the plasma to produce non-porous boride layers, for example, for boride coating of components which need a surface that is highly resistant to wear, for example, gears, camshafts and the like. Parameters with which the production of the boride layer can be controlled are, for example, voltage, pulse-duty factor, frequency, temperature, treatment chamber pressure during the production of the plasma, and the content of boron-releasing gas and of the remaining components in the gas mixture which is fed to the reactor.

23

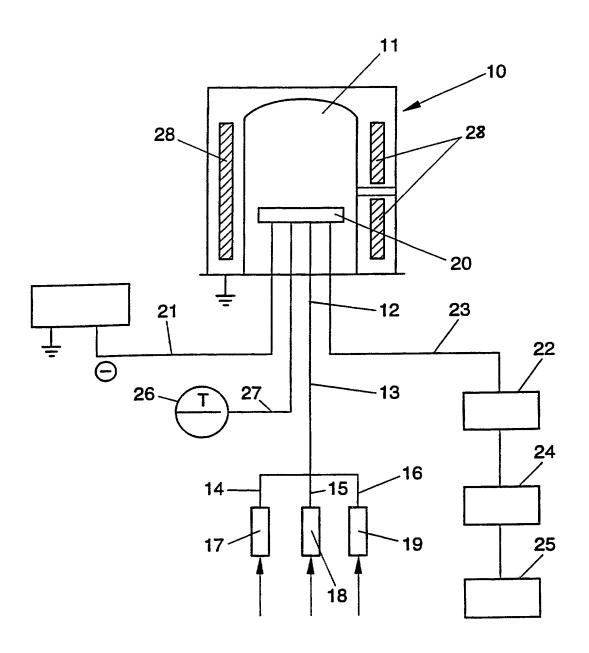


FIG. 1

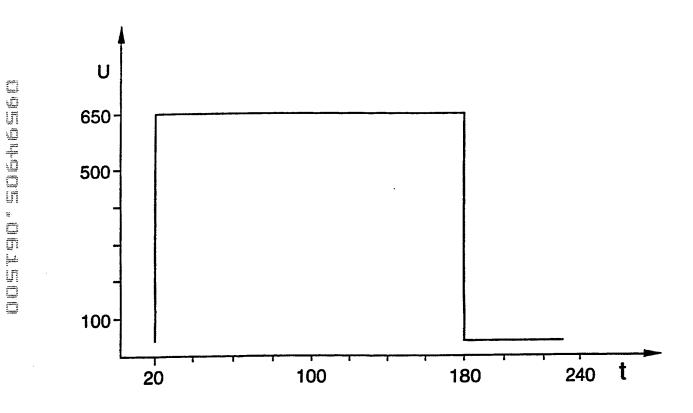


FIG. 2

BAKER BOTTS L.L.P.

FILE NO.: A33169 PCT/USA

NR.114 S.3/9

COMBINED DECLARATION AND POWER OF ATTORNEY

(Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND ARRANGEMENT FOR PLASMA BORONIZING

This declaration is of the following type:
[] original [] design [] national stage of PCT. [] divisional [X] continuation [] continuation-in-part (C-I-P) the specification of which: (complete (a), (b), or (c)) (a) [X] is attached hereto. (b) [] was filed on as Application Serial No. and was amended on (if applicable). (c) [] was described and claimed in PCT International Application No. filed on and was amended on (if applicable).
(a) [X] is attached hereto. (b) [] was filed on as Application Serial No. and was amended on (if applicable). (c) [] was described and claimed in PCT International Application No. filed on and was amended on (if applicable). Acknowledgement of Review of Papers and Duty of Candor I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56. [] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.
Priority Claim I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one

country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed

(complete (d) or (e))

- (d) [] no such applications have been filed.
- (e) [X] such applications have been filed as follows:

JUN 15 2000 04:13 +49 5361 939607 PAGE.03

BAKER BOTTS L.L.P.

FILE NO.: A33169 PCT/USA

RIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 M COUNTRY APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Germany 197 55 595.0	15 December 1997		[x]YES NO[]
	·		[] YES NO []
			[] YES NO []
LL FOREIGN APPLICATION[S], IF ANY, FILED MORE THA	AN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR	R TO SAID APPLICATION	
			[]YES NO []
			[]YES NO []
			[]YES NO []

Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date

Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

(complete this part only if this is a divisional, continuation or C-I-P application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PCT/EP 98/08079	December 11, 1998	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

(Application Serial No.)

El Kin

n

Į,

(Filing Date)

(Status) (patented, pending, abandoned)

Power of Attorney

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836; Henry Tang, Reg. No. 29,705; Robert C. Scheinfeld, Reg. No. 31,300; John A. Fogarty, Jr., Reg. No. 22,348; Louis S. Sorell, Reg. No. 32,439; Rochelle K. Seide Reg. No. 32,300; Gary M. Butter, Reg. No. 33,841; Marta E. Delsignore, Reg. No. 32,689; and Lisa B. Kole, Reg. No. 35,225 of the firm of BAKER BOTTS L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO: BAKER BOTTS L.L.P. 30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112 CUSTOMER NUMBER: 21003	DIRECT TELEPHONE CALLS TO: BAKER BOTTS L.L.P. (212) 705-5000
--	---

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge

BAKER BOTTS L.L.P. FILE NO.: A33169 PCT/USA

that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

ILL NAME OF SOLE R FIRST INVENTOR	Pagriguez Rodriguez Cabeo	FIRST NAME Emilio	MIDDLE NAME	
SIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Isenbüttel	Germany	Germany	
OST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY ZIP CODE	
DDRESS	Eschenkamp 5a	Isenbüttel	Germany D-38550	
ATE /3.06.60	SIGNATURE OF INVESTOR	>		
ILL NAME OF SECOND	LAST NAME	FIRST NAME	MIDDLE NAME	
DINT INVENTOR, IF ANY	Laudien	Günter		
ESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Leiferde	Germany	Germany	
OST OFFICE	POST OFFICE ADDRESS	CITY	STATE of COUNTRY ZIP CODE	
DDRESS	Langes Moor 21	Leiferde	Germany D-38042	
ATE 13.06.00	SIGNATURE OF INVENTOR			
ULL NAME OF THIRD	LAST NAME	FIRST NAME	MIDDLE NAME	
DINT INVENTOR, IF ANY	RIE	Kyong-Tschong		
ESIDENCE & CITIZENSHIP	CITY	STATE of FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Braunschweig	Germany	Germany	
OST OFFICE	POST OFFICE ADDRESS	CITY	STATE OF COUNTRY ZIP CODE	
DDRESS	Rostockerstrasse	Braunschweig	Germany D-3812	
MIR. 7th, 2000	SIGNATURE OF INVENTOR Do / // John	W Kio	Transport of the state of the s	
ULL NAME OF FOURTH OINT INVENTOR, IF ANY	LASTNAME Biemer	FIRST NAME Swen	MIDDLE NAME	
ESIDENCE & CITIZENSHIP	CITY	STATE of FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	Braunschweig	Germany	Germany	
OST OFFICE	POST OFFICE ADDRESS	CITY	STATE of COUNTRY ZIP CODE	
ADDRESS	Echternstrasse 17	Braunschweig	Germany D-3810	
Take gt, 2006	SIGNATURE OF INVENTOR			
ULL NAME OF FIFTH LAST NAME DINT INVENTOR, IF ANY		FIRST NAME	MIDDLE NAME	
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY ZIP CODE	
DATE	SIGNATURE OF INVENTOR			

[] Signature for ninth and subsequent joint inventors. Number of pages added [] Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.

[] Signature for inventor who refuses to sign, or cannot be reached, by person authorized under 37 CFR 1.47. Number of pages added.

-3-